

The logo features the word "VANTAGE" in a bold, white, sans-serif font, centered within a dark blue, downward-pointing arrow shape. Below the text is a stylized white "V" icon composed of three vertical bars on the left and a solid block on the right. The entire graphic is framed by a thick, blue, curved border that resembles a stylized "V" or a swoosh.

VANTAGE



Vantage Success Partners Adviser Solutions

Vantage Benefits Administrators, Inc.

1201 Elm Street, Suite 1600 Dallas, Texas 75270

800.337.8005

www.vantagebenefits.com

VANTAGE



Vantage Success Partners Adviser Solutions

Why Partner with Vantage?

As a financial adviser, you're an essential part of the team that makes a retirement plan worthwhile for employers and participants. When you take advantage of opportunities to add value, you strengthen your client relationships even more. This helps secure your position and your profits.

Understanding the Plan Sponsor's Burden

Most plan sponsors can't succeed without an adviser. Yet due to the Employee Retirement Income Security Act of 1974, as amended (ERISA), the plan sponsor's responsibilities as the legal plan administrator and named fiduciary have become a huge fiduciary burden with a myriad of requirements. The plan sponsor often hires a team to help implement the plan — but regardless who's involved, the ultimate fiduciary responsibility belongs to the plan sponsor.

Fortunately, ERISA allows plan sponsors to delegate fiduciary obligations to a qualified party. This is your chance to add value. You can introduce a solution that lightens a plan sponsor's load, advancing your own business in the process.

As plan administrator and named fiduciary, **Vantage** eliminates the plan sponsor's headaches and helps strengthen the adviser's value proposition.

Relieved of more than 20 fiduciary responsibilities and the associated liability, all the **plan sponsor** has to do is monitor Vantage.

By introducing Vantage to the plan sponsor, the **adviser** can gain hero status, fend off competitors, save time and improve profitability — all with access to an open-architecture, level-pay, fee equalization platform.

Positioning for Success

At Vantage Benefits Administrators, we enable plan sponsors to minimize their fiduciary responsibilities and reduce their liability to the greatest extent possible under ERISA. We're a full-scope ERISA 3(16) plan administrator and ERISA 402(a) named fiduciary offering maximum outsourcing of plan fiduciary functions and their responsibilities.

Our services can transform the plan sponsor role, easing the fiduciary burden dramatically. When you partner with us, we're able to approach the plan sponsor with the goal of assuming every fiduciary function allowed under ERISA that the employer chooses to delegate. That fiduciary relationship adds significant value to your role as an adviser that helps position you for ongoing success.

Partnering with Vantage

When Vantage becomes the legal plan administrator and named fiduciary for a retirement plan, you have a lot to gain as the plan's adviser.

Become the Hero by Lightening the Employer's Load

The concerns and risks are off the employer's shoulders — and you, the adviser, are the one to thank. We address all the issues:

- **Day-to-day fiduciary responsibilities:** No longer does the plan sponsor have to keep pace with every change in legal requirements, assume personal liability, make sure committee members understand their fiduciary role, keep plan participants informed and so on. Vantage resolves all these headaches immediately.
- **Continuous administrative compliance:** Vantage ensures compliance by maintaining best practices in retirement plan administration. We take responsibility for more than 20 administrative functions and the liability associated with them, including any related financial liability.
- **The danger of costly penalties:** Failure to comply with all current and new ERISA regulations can result in fines, penalties and lawsuits. Vantage enables employers to avoid costly mistakes by keeping pace with regulations and backing its commitment with a solid indemnification clause and insurance policy.

Make Your Business More Efficient and Profitable

Retirement plan committee meetings often take unexpected amounts of time and effort. Other matters that need your attention get postponed, watering down your profits. We save you time by taking on the task of scheduling, organizing and conducting retirement plan committee meetings. We orchestrate the meetings, which are efficient and to the point. Less time and effort are involved, so that piece of business becomes more beneficial for you.

We don't provide investment management services or advice. That's why we partner with financial advisers and serve as the legal plan administrator and named fiduciary to maximize your protection.

Demonstrate Value alongside a Professional Fiduciary

Your clients are aware of the value you bring to their retirement plan. With Vantage in the fiduciary role, you'll continue to demonstrate that value by managing the investments in the plan. An open-architecture platform with numerous unbiased investment options will be available to you, making it easier to act in your clients' best interests.

You'll also have a new partner, a highly experienced professional fiduciary with the most extensive program in the industry, **Vantage Shield**SM fiduciary services. As a result, you'll give your clients a powerful combination of service expertise.

Strengthening Your Role

It's a wise adviser who takes advantage of an opportunity to receive such significant benefits while bringing great value to clients as well. Why not strengthen your role by calling us now at 800.337.8005 for a free consultation?

About Vantage

Vantage Benefits Administrators, Inc., is a full-service employee benefits consultant, Third Party Administrator (TPA), recordkeeper and professional fiduciary. Vantage specializes in comprehensive, cutting-edge corporate benefit program administration, offering fiduciary services as needed. Independent of all carriers and investment product providers, Vantage is unbiased in its pursuit of its clients' best interests. The company emphasizes transparency, integrity, responsiveness and cost efficiency. For more information, please call 1.800.337.8005 or visit www.vantagebenefits.com.



Vantage Benefits Administrators, Inc.
1201 Elm Street, Suite 1600
Dallas, TX 75270
800.337.8005
www.vantagebenefits.com

Vantage Shield is a service mark of Vantage Benefits Administrators, Inc. All other trademarks, registered trademarks and service marks are the property of their respective owners.

© 2017 Vantage Benefits Administrators, Inc. All rights reserved.



Brief_AdviserPartner0717